

### U.S. Trade with Ukraine

#### Summary

In 2021, U.S. exports to Ukraine totaled \$2.5 billion, an 32.5% (\$620.4 million) increase from 2020; U.S. imports from Ukraine totaled \$1.9 billion, an 44.6% (\$582.4 million) increase; and the trade surplus was \$639.1 million, a 6.3% (\$38.0 million) increase.

In 2021, of the \$2.5 billion in U.S. exports to Ukraine, the top commodity sectors were Transportation Equipment (44.3% of the total exports), Oils, Minerals, Lime, and Cement (19.3%), and Machinery and Mechanical Appliances (11.7%).

In 2021, of the \$1.9 billion in U.S. imports from Ukraine, the top commodity sectors were Base Metals, Iron, Steel and Tools (59.7% of the total imports), Agricultural Products (12.0%), and Machinery and Mechanical Appliances (7.0%).

In 2021, <u>0.1%</u> of the total <u>U.S. exports</u> were shipped to Ukraine while <u>0.1%</u> of total <u>U.S. Imports</u> were delivered from Ukraine.

In 2021, U.S. exports of Transportation Equipent to Ukraine increased by 44.9% (\$347.1 million) from \$773.3 **million** in 2020 to \$1.1 **billion**, representing 0.5% of the total U.S. global exports of those commodities.

In 2021, U.S. imports of Base Metals, Iron, Steel and Tools from Ukraine increased by 61.8% from \$697.2 **million** in 2020 to \$1.1 **billion**, an increase of 61.8% (\$431.0 million), representing 0.7% of U.S. global imports of those commodities.

In 2021, U.S. exports to Ukraine shipped under a BIS license exception totaled \$3.5 billion, a \$1.1 million, 43.7% increase from 2020. The usage of the license exception authorization for Encryption Commodities & Software totaled \$2.2 million, a 38.1% (\$0.6 million) increase from \$1.6 million in 2020.

In 2021, BIS reviewed 160 export/re-export applications (not including deemed export applications) for tangible items, software and technology valued at \$576.4 million for Ukraine. License applications for Ukraine counted 0.4% of the World total by license count. BIS approved 137 with an approval rate of 85.6% for \$63.4 million. There were 23 RWAs with a value of \$513.0 million, and no denied license applications for Ukraine.

In 2021, BIS reviewed four applications from nationals of Ukraine for Deemed Exports. BIS approved three applications, there was one RWA, and there were no denials.

In 2021, the top approved ECNNs (including deemed exports) to Ukraine by count include: **0A501** for Firearms (except 0A502 shotguns) and Related Commodities with 41 approvals, **0A504** for Optical Sighting Devices for Firearms with 22 approvals; and **3A001** for Electronic items with 12 approvals.

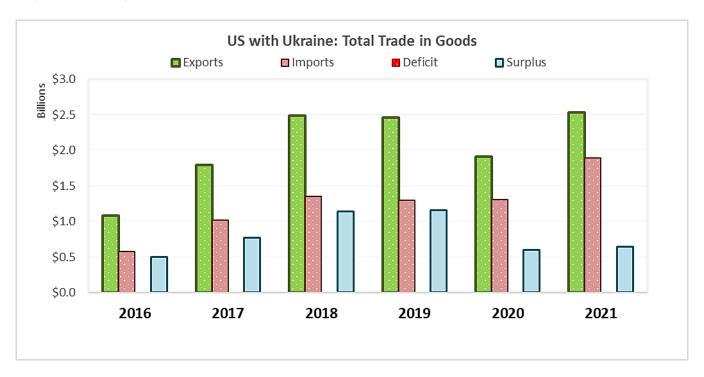
In 2021, the average processing time for Ukraine was 45 calendar days.



### U.S. Trade with Ukraine

#### I. Total U.S. Trade in Goods with Ukraine

In 2021, U.S. exports to Ukraine totaled \$2.5 billion, a 32.5% (\$620.4 million) increase from 2020; U.S. imports from Ukraine totaled \$1.9 billion, a 44.6% (\$582.4 million) increase; and the trade surplus was \$639.1 million, a 6.3% (\$38.0 million) increase.



In 2021, the level of both U.S. exports to Ukraine and U.S. imports from Ukraine exceeded the prior five-year average level by value not adjusted for inflation. The U.S. trade surplus with Ukraine is lower than the prior five-year average level by value not adjusted for inflation.

·	2016	2017	2018	2019	2020	2021	2016-2020 Average			
EXPORTS	\$1,076.7	\$1,788.3	\$2,486.9	\$2,457.4	\$1,908.0	\$2,528.3	\$1,943.4			
∆value		\$711.7	\$698.5	-\$29.5	-\$549.4	\$620.4				
Δpct		66.1%	39.1%	-1.2%	-22.4%	32.5%				
IMPORTS	\$578.2	\$1,020.0	\$1,351.1	\$1,297.0	\$1,306.9	\$1,889.2	\$1,110.6			
∆value		\$441.7	\$331.2	-\$54.2	\$9.9	\$582.4				
Δpct		76.4%	32.5%	-4.0%	0.8%	44.6%				
BALANCE	\$498.5	\$768.4	\$1,135.7	\$1,160.4	\$601.1	\$639.1	\$832.8			
∆value		\$269.9	<i>\$367.4</i>	\$24.6	(\$559.3)	\$38.0				
Δpct		54.2%	47.8%	2.2%	-48.2%	6.3%				
	Values in Millions of U.S. Dollars. Source: U.S. Census Bureau USA Trade Portal February 15, 2022									



### U.S. Trade with Ukraine

In 2021, of the \$2.5 billion in U.S. exports to Ukraine, the top commodity sectors were Transportation Equipment (44.3% of the total exports), Oils, Minerals, Lime, and Cement (19.3%), and Machinery and Mechanical Appliances (11.7%).

In 2021, of the \$1.9 billion in U.S. imports from Ukraine, the top commodity sectors were Base Metals, Iron, Steel and Tools (59.7% of the total imports), Agricultural Products (12.0%), and Machinery and Mechanical Appliances (7.0%).



The Share of U.S. – Ukraine Trade in U.S. Global Trade

In 2021, <u>0.1%</u> of the total <u>U.S. exports</u> were shipped to Ukraine while <u>0.1%</u> of total <u>U.S. Imports</u> were delivered from Ukraine.

### U.S. Exports of Transportation Equipment

In 2021, U.S. exports of Transportation Equipent to Ukraine increased by 44.9% (\$347.1 million) from \$773.3 **million** in 2020 to \$1.1 **billion**, representing 0.5% of the total U.S. global exports of those commodities.

#### U.S. Imports of Base Metals, Iron, Steel and Tools

In 2021, U.S. imports of Base Metals, Iron, Steel and Tools from Ukraine increased by 61.8% from \$697.2 **million** in 2020 to \$1.1 **billion**, an increase of 61.8% (\$431.0 million), representing 0.7% of U.S. global imports of those commodities.



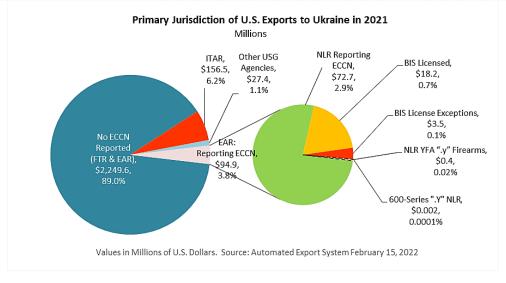
## U.S. Exports to Ukraine subject to U.S. Government Export Control

### II. U.S. Exports to Ukraine subject to U.S. Government Export Control

In 2021, of the \$2.5 billion in U.S. exports to Ukraine:

- \$156.5 million, 6.2% were exported under ITAR authorization.
- \$27.4 million, 1.1% were exported under other government authorizations.
- \$21.7 million, 0.9% were subject to a BIS license requirement:
  - \$18.2 million, 0.7% were exported under a BIS license. Worldwide, 0.4% were exported under a BIS license.
  - $\circ$  \$3.5 million, 0.1% were exported under a BIS license exception. Worldwide, 0.8% were exported under a BIS license exception.
- \$72.7 million, 2.9% were exported under No License Required with an ECCN; Worldwide, 10.0% were exported under NLR with an ECCN reported.
  - \$0.002 million, 0.0001% were exported under NLR for 600-series .y subparagraph items. Worldwide, 0.02% were exported under NLR 600-series .y subparagraph items.
  - o \$0.4 million, 0.02% were exported under NLR for 0A501 .y subparagraph Firearms items; Worldwide, 0.001% were exported under NLR for 0A501 .y subparagraph Firearms items.

					Uk	raine											
									2	019			202	20			2021
Departmer	nt of State								\$1	64.4		\$	169	.0		\$1	156.5
Other USG	Agencies								\$:	22.0		\$19.1				Ş	27.4
Departmer	nt of Commerce								\$2,2	70.9		\$1,	719	.8		\$2,3	344.5
	BIS Licensed								:	\$4.1			\$13	.9		ç	18.2
	BIS License Exception	ns							:	\$4.4			\$2	.4			\$3.5
	NLR reporting an ECO	CN							\$1	08.1			\$29	.5		ç	572.7
	NLR not reporting an	EC(	CCN \$2,15			54.4		\$1,673.7 \$0.2		673.7		\$2,249.6					
	NLR 600-Series ".y" s	subparagraph				\$0.01						\$0.002					
	NLR YFA ".y" subpara	agraph Firearms				\$0.0		\$0.1				\$0.4					
Values in Millions of	f U.S. Dollars. Source: A	Auto	mated I	Ехр	ort Sy	stem					Fe	bruary 15	, 202	22			
Reasons	Reasons for Control			Ė		clear liferation		onal urity	Missile Tech	-0	onal	Firearms Convention	Crin	ne Co	ntrol	Anti-Te	rrorism
		CB:1	CB:2 CE	3:3	NP:1	NP:2	NS:1	NS:2	MT:1	RS:1	RS:2	FC:1	CC:1	CC:2	CC:3	AT:1	AT:2
Ukraine		X					X	X	х	x	x		х	x			



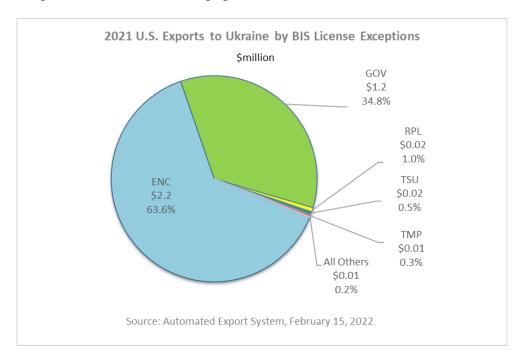


## U.S. Exports to Ukraine subject to U.S. Government Export Control

In 2021, U.S. exports to Ukraine shipped under a BIS license exception totaled \$3.5 million, a \$1.1 million, 43.7% increase from 2020.



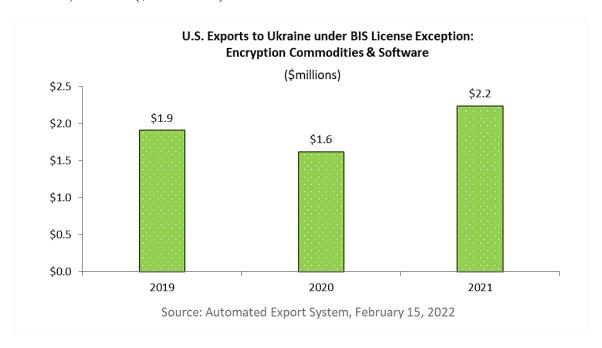
In 2021, the top license exception Encryption Commodities & Software (ENC) totaled \$2.2 million (63.6% of all license exceptions), followed by Government & International Operations (GOV) at \$1.2 million (34.8%), and Servicing and Replacement of Parts and Equipment at \$0.02 million (1.0%).

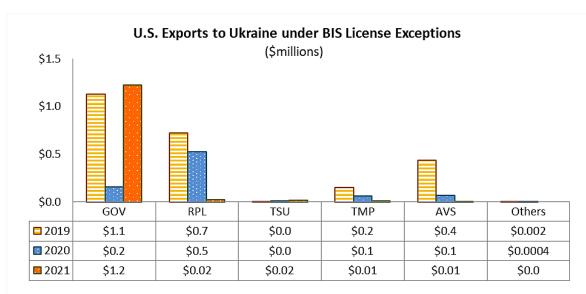




## U.S. Exports to Ukraine subject to U.S. Government Export Control

In 2021, U.S. exports to Ukraine shipped under the BIS license exception Encryption Commodities & Software totaled \$2.2 million, a 38.1% (\$0.6 million) increase from 2020.





The BIS License Exception for Encryption is excluded from this chart

Source: Automated Export System, February 15, 2022



# U.S. Exports to Ukraine by Top Ten Export Control Classification Numbers (ECCN)

	Тор Т	en ECCNs	by Value to Ukraine (\$millions)
	#1	0B505	Test, inspection, and production "equipment" and related commodities "
	#2	0A501	Firearms (except 0A502 shotguns) and related commodities as follows (s
D	#3	0A505	Ammunition as follows (see List of Items Controlled).
NSE	#4	0A504	Optical sighting devices for firearms (including shotguns controlled b
Ž	#5	3A611	Military electronics, as follows (see List of Items Controlled).
LICE	#6	5A002	"Information security" systems, equipment and "components," as follows
	#7	6A003	Cameras, Systems or Equipment, and "Components" Therefor, as Follows (
	#8	0A502	Shotguns; shotguns "parts" and "components," consisting of complete tr
	#9	1A004	Protective and Detection Equipment and "Components," Not "Specially De
	#10	1A613	Armored and protective "equipment" and related commodities, as follows

Note: ECCN descriptions have been truncated: please review Commerce Control List for full description

	Top T	en ECCNs	by Value to Ukraine (\$millions)
	#1	5A002	"Information security" systems, equipment and "components," as follows
S	#2	1A004	Protective and Detection Equipment and "Components," Not "Specially De
	#3	3A981	Polygraphs (except biomedical recorders designed for use in medical fa
PTION	#4	7A103	Instrumentation, navigation equipment and systems, other than those co
<b>L</b>	#5	0A501	Firearms (except 0A502 shotguns) and related commodities as follows (s
CEI	#6	EAR99	Items subject to the EAR that are not elsewhere specified in this CCL
×	#7	9A991	"Aircraft," n.e.s., and gas turbine engines not controlled by 9A001 or
	#8	3A001	Electronic items as follows (see List of Items Controlled).
	#9	2B991	Numerical control units for machine tools and "numerically controlled"
	#10	6A005	"Lasers," "components" and optical equipment, as follows (see List of

Note: ECCN descriptions have been truncated: please review Commerce Control List for full description

	Top Ten ECCNs by Value to Ukraine (\$millions)								
	#1	9A991	"Aircraft," n.e.s., and gas turbine engines not controlled by 9A001 or						
2	#2	5A992	Equipment not controlled by 5A002 (see List of Items Controlled).						
$\mathcal{C}$	#3	7A994	Other navigation direction finding equipment, airborne communication e						
E	#4	5A991	Telecommunication equipment, not controlled by 5A001 (see List of Item						
with	#5	1C992	Commercial charges and devices containing energetic materials, n.e.s.						
3	#6	1A999	Specific Processing Equipment, n.e.s., as Follows (See List of Items C						
8	#7	3B991	Equipment not controlled by 3B001 for the manufacture of electronic "p						
Z	#8	3A999	Specific Processing Equipment, n.e.s., as Follows (See List of Items C						
	#9	2B999	Specific Processing Equipment, n.e.s., as Follows (See List of Items C						
	#10	8A992	Vessels, marine systems or equipment, not controlled by 8A001 or 8A002						

Note: ECCN descriptions have been truncated: please review Commerce Control List for full description



# U.S. Exports to Ukraine by Top Ten Export Control Classification Numbers (ECCN)

	Top T	en ECCNs	to Ukraine by Shipment Count
	#1	0A501	Firearms (except 0A502 shotguns) and related commodities as follows (s
	#2	0A504	Optical sighting devices for firearms (including shotguns controlled b
D.	#3	0A505	Ammunition as follows (see List of Items Controlled).
SE	#4	5A002	"Information security" systems, equipment and "components," as follows
CEN	#5	0A502	Shotguns; shotguns "parts" and "components," consisting of complete tr
<u>고</u>	#6	1A004	Protective and Detection Equipment and "Components," Not "Specially De
	#7	3A001	Electronic items as follows (see List of Items Controlled).
	#8	0B505	Test, inspection, and production "equipment" and related commodities "
	#9	6A005	"Lasers," "components" and optical equipment, as follows (see List of
	#10	3A611	Military electronics, as follows (see List of Items Controlled).

Note: ECCN descriptions have been truncated: please review Commerce Control List for full description

	Top T	en ECCNs	to Ukraine by Shipment Count
	#1	5A002	"Information security" systems, equipment and "components," as follows
S	#2	1A004	Protective and Detection Equipment and "Components," Not "Specially De
ON	#3	0A501	Firearms (except 0A502 shotguns) and related commodities as follows (s
12	#4	2B991	Numerical control units for machine tools and "numerically controlled"
Ы	#5	EAR99	Items subject to the EAR that are not elsewhere specified in this CCL
EXCE	#6	7A103	Instrumentation, navigation equipment and systems, other than those co
×	#7	3A981	Polygraphs (except biomedical recorders designed for use in medical fa
ш	#8	3A001	Electronic items as follows (see List of Items Controlled).
	#9	9A991	"Aircraft," n.e.s., and gas turbine engines not controlled by 9A001 or
	#10	5B002	"Information Security" test, inspection and "production" equipment, as

Note: ECCN descriptions have been truncated: please review Commerce Control List for full description

	Top Ten ECCNs to Ukraine by Shipment Count								
	#1	9A991	"Aircraft," n.e.s., and gas turbine engines not controlled by 9A001 or						
3	#2	5A992	Equipment not controlled by 5A002 (see List of Items Controlled).						
Ö	#3	5A991	Telecommunication equipment, not controlled by 5A001 (see List of Item						
E	#4	7A994	Other navigation direction finding equipment, airborne communication e						
with	#5	3A991	Electronic devices, and "components" not controlled by 3A001.						
3	#6	3A992	General purpose electronic equipment not controlled by 3A002.						
4	#7	3A999	Specific Processing Equipment, n.e.s., as Follows (See List of Items C						
NLR	#8	1C992	Commercial charges and devices containing energetic materials, n.e.s.						
	#9	0A501	Firearms (except 0A502 shotguns) and related commodities as follows (s						
	#10	4A994	Computers, "electronic assemblies" and related equipment not controlle						

 $Note: ECCN\ descriptions\ have\ been\ truncated: please\ review\ Commerce\ Control\ List\ for\ full\ description$ 

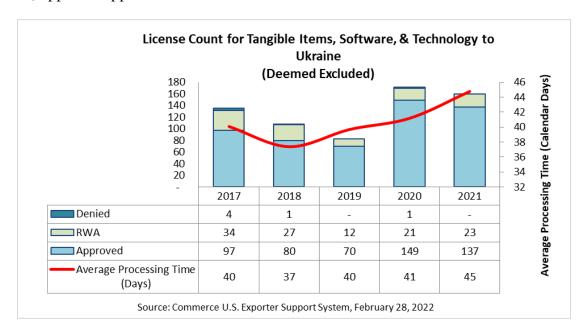


### **BIS** License Determination Trends for Ukraine

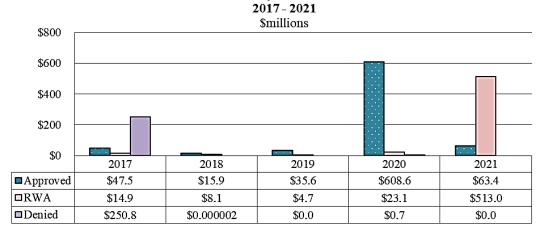
### **III. BIS Licensing to Ukraine**

In 2021, BIS reviewed 160 export/re-export applications (not including deemed export applications) for Tangible items, software and technology valued at \$576.4 million for Ukraine, out of a total of 39,901 applications worldwide for tangible items, software and technology valued at \$1.4 trillion.

Approved applications for Ukraine totaled 137 with an approval rate of 85.6% for \$63.4 million, compared to 34,369 (86.1%) approved applications for all destinations valued at \$322.8 billion.



### Licenses for Tangible Items, Software and Technology for Ukraine by Value



Source: Commerce U.S. Exporter Support System, February 28, 2022



### **BIS License Determination Trends for Ukraine**

In 2021, BIS reviewed four applications for Deemed Exports from nationals of Ukraine, out of 942 applications worldwide for deemed exports. BIS approved three applications (75.0%), compared to the 821 (87.2%) approved applications for all destinations.

In the last five years, there were no denials for Deemed Export applications from nationals of Ukraine.

	Deemed Export Licenses for Nationals of Ukraine										
			2017 - 20	21							
Year	Approved	RWA	Denied	Ukraine Total	World Total	Ukraine Total/ World Total					
2017	11	1	0	12	1,510	0.8%					
2018	4	1	0	5	967	0.5%					
2019	6	2	0	8	1,302	0.6%					
2020	4	0	0	4	1,212	0.3%					
2021	3	1	0	4	942	0.4%					

Source: Commerce U.S. Exporter Support System, February 28, 2022

In 2021, the top approved ECNNs (including deemed exports) for Ukraine by count include: **0A501** for Firearms (except 0A502 shotguns) and Related Commodities with 41 approvals, **0A504** for Optical Sighting Devices for Firearms with 22 approvals; and **3A001** for Electronic Items with 12 approvals.

	Top T	en Approved (with deemed) ECCNs to Ukraine by Count	2017	2018	2019	2020	2021	% of World Total 2021
#1	0A501	Firearms (except 0A502 shotguns) and related commodities as follows (s	-	-	-	60	41	0.8%
#2	0A504	Optical sighting devices for firearms (including shotguns controlled b	-	-	-	13	22	1.6%
#3	3A001	Electronic items as follows (see List of Items Controlled).	8	4	10	10	12	1.0%
#4 #5 #6 #7 #0	5D002	"Software" as follows (see List of Items Controlled).	5	3	-	3	10	1.6%
#5	0A505	Ammunition as follows (see List of Items Controlled).	-	-	-	16	10	1.0%
#6	1A004	Protective and Detection Equipment and "Components," Not "Specially D€	11	6	6	8	10	2.89
#7	3D980	"Software" "specially designed" for the "development," "production" or	8	8	1	9	5	1.7%
<b>1</b> #8	3A611	Military electronics, as follows (see List of Items Controlled).	6	3	4	5	5	0.2%
#9	3A981	Polygraphs (except biomedical recorders designed for use in medical fa	11	9	1	10	4	1.2%
#10	6A003	Cameras, Systems or Equipment, and "Components" Therefor, as Follows	8	4	5	6	4	0.3%

Note: ECCN Descriptions have been truncated: please review Commerce Control List for Full Description

In 2021, there were 23 RWA applications with a value of \$513.0 million, and no denied license applications for Ukraine.

In 2021, the average processing time for Ukraine was 45 calendar days.



### Summary of U.S. Exports to Ukraine

	Summary of	U.S. Exports to Ukraine Cal	endar Year 2021		
	CY2021	CY2020	% of Total Exports	Percentage Change from previous year	
Total Exports	\$2,528,336,115	\$1,907,962,744		32.5%	
Total Subject to ITAR	\$156,492,927	\$169,004,362	6.2%	-7.4%	
Subject to Other Gov. Agencies	\$27,381,471	\$19,137,646	1.1%	43.1%	
	Detaile	d Summary of EAR-Controll	led Exports		
AES License Type	Description	Value	Percentage of Total	Percentage Description	
C30	Licenses	\$18,227,702	100.0%		
VDC	Voluntary Disclosure	\$0			
Tota	al of Licensed	\$18,227,702	0.7%	Total Licensed/Total Exports	
C40	TMP	\$10,116	0.3%		
C41	RPL	\$22,450	0.6%		
C42	GOV	\$1,221,365	34.8%		
C44	TSU	\$16,966	0.5%		
C46	AVS	\$5,400	0.2%		
C50	ENC	\$2,231,946	63.6%		
Tabel of		¢2.500.242	0.1%	Total License Exceptions/Total Exports	
Total of I	License Exceptions	\$3,508,243	16.1%	Total License Exceptions/Total Subject to License Requirement	
_	to license requirement and License Exceptions)	\$21,735,945	0.9%	Total Subject to License Requirement/Total Exports	
C33	NLR reporting an ECCN	\$72,711,640	2.9%	NLR reporting an ECCN/ Total Exports	
C60	NLR for 600-Series ".y" subparagraph	\$1,764	0.0001%	NLR for 600-Series ".y" subparagraph/ Total Exports	
C63	NLR for OA501 .y subparagraph Firearms	\$403,790	0.02%	NLR for 0A501 .y subparagraph firearms/ Total Exports	
T	otal of NLR	\$73,117,194	2.9%	Total NLR with an ECCN/ Total Exports	
Exports no	t reporting an ECCN	\$2,249,608,578	89.0%		
	Source: ILS Consus Bures		nd Automated Evnort Cu	<u> </u>	

Source: U.S. Census Bureau, Foreign Trade Division and Automated Export System